

United States Court of Appeals  
for the Fifth Circuit

United States Court of Appeals  
Fifth Circuit

**FILED**

August 24, 2020

Lyle W. Cayce  
Clerk

No. 20-20034

JAMES SIMMONS,

United States Courts  
Southern District of Texas

*Plaintiff—Appellant*, FILED

September 17, 2020

*versus*

David J. Bradley, Clerk of Court

UBS FINANCIAL SERVICES, INCORPORATED,

*Defendant—Appellee.*

Appeal from the United States District Court  
for the Southern District of Texas  
USDC No. 4:19-CV-3301

Before SMITH, WILLETT, and DUNCAN, *Circuit Judges.*

J U D G M E N T

This cause was considered on the record on appeal and the briefs on file.

IT IS ORDERED and ADJUDGED that the judgment of the District Court is AFFIRMED.

IT IS FURTHER ORDERED that plaintiff-appellant pay to defendant-appellee the costs on appeal to be taxed by the Clerk of this Court.



Certified as a true copy and issued  
as the mandate on Sep 17, 2020

Attest: *Lyle W. Cayce*  
Clerk, U.S. Court of Appeals, Fifth Circuit

***United States Court of Appeals***

FIFTH CIRCUIT  
OFFICE OF THE CLERK

LYLE W. CAYCE  
CLERK

TEL. 504-310-7700  
600 S. MAESTRI PLACE,  
Suite 115  
NEW ORLEANS, LA 70130

September 17, 2020

Mr. David J. Bradley  
Southern District of Texas, Houston  
United States District Court  
515 Rusk Street  
Room 5300  
Houston, TX 77002

No. 20-20034 James Simmons v. UBS Financial Services,  
Inc.  
USDC No. 4:19-CV-3301

Dear Mr. Bradley,

Enclosed is a copy of the judgment issued as the mandate and a copy of the court's opinion.

Sincerely,

LYLE W. CAYCE, Clerk

*Christina Rachal*

By: \_\_\_\_\_  
Christina C. Rachal, Deputy Clerk

CC:

Mr. David Charles Holmes  
Mr. Travis Justin Odom  
Mr. Adam R. Perkins